



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/727,096	11/29/2000	Dennis L. Montgomery	42503/261928 ETV-001

CONFIRMATION NO. 6817

FORMALITIES LETTER



OC000000005681253

PILLSBURY MADISON & SUTRO LLP
Ninth Floor, East Tower
1100 New York Avenue, N.W.
Washington, DC 20005-3918

Date Mailed: 02/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$548.

04/19/2001 JADD01	00000035	09727096
01 FC:201		355.00 OP
02 FC:202		40.00 OP
03 FC:203		234.00 OP
04 FC:205		65.00 OP

 - \$468 for 26 total claims over 20.
 - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1388.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
FILING COMPLETION UNDER RULE 53(f)

(3)

PATENT APPLICATION

Sectors

In re PATENT APPLICATION of

Attn: Application Division

Inventor: MONTGOMERY, Dennis L.

Appln. No.: 09/727,096

Filed: November 29, 2000

Title: **Method and Apparatus for encoding Information
Using Multiple Passes and Decoding in a Single Pass**

Atty. Dkt. 42503 | 0261928

(Our Deposit Account No. 03-3975)

(Our Order No. 0261928 | ETV-001

TMC# | Client Ref

Date: April 12, 2001

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. Notice to File Missing Parts ☒ copy enclosed ☐ not yet received
2. ☒ Signed Declaration enclosed ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded assignment to the undersigned.
4. ☒ Attorney for the Applicant hereby asserts qualification for Small Entity Status.
5. ☒ Substitute specification, claims, and abstract.

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

6. Basic Filing Fee.....				\$710/355	\$	355.00	101/201
7. Total Claims	46	minus 20 =	26	x \$18/\$09	+	234.00	103/203
8. Independent Claims	4	minus 3 =	1	x \$80/\$40	+	40.00	102/202
9. Surcharge for filing Declaration/filing fee late				\$130/\$65	+	65.00	105/205
10.	FILING FEE ENCLOSED =				\$	694.00	
11. Original due date: April 3, 2001							
12. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.				\$110/55 \$380/190 \$870/435 \$1510/755		55\$.00	
13. If "assignment" box is X'd, add recording fee.....				\$40	+	40.00	581
14.	TOTAL FEE: =					789.00	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing or insufficient fees required under Rules 16-18 relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

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PILLSBURY MADISON & SUTRO, LLP

By: **David A. Jakopin**, Reg. No. 32,995

PATENT AGENT REG. #43,464
FOR DAVID JAKOPIN

04/19/2001 JADD01 00000035 09727096

06 FC:215

55.00 0P

I certify that the enclosed papers are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to :Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on April 12, 2001.

Jeanette Walker-Cooks